

MCKEE, VOORHEES & SEASE, PLC
~~MCKEE VOORHEES & SEASE, PLC~~

ATTORNEYS AT LAW

801 GRAND AVENUE • SUITE 3200 • DES MOINES, IA 50309-2721
PHONE: 515-288-3667 • FAX: 515-288-1338 • www.ipmvs.com

RECEIVED
CENTRAL FAX CENTER

DEC 09 2003

DATE: December 9, 2003

TO: Art Unit 3654

COMPANY: USPTO

PHONE:

FAX: (703) 872-9326

FROM: KIRK M. HARTUNG

NUMBER OF PAGES (Including cover): 4

OFFICIAL

Please file the attached INFORMATION DISCLOSURE STATEMENT AND
PTO/SA08B for:

APPLICANT : SHOJI, Katsuji
SERIAL NO : 10/654,554
FILED : September 3, 2003
TITLE : SELF-LOCKING REDUCTION DEVICE

Grp./A.U. : 3654
Examiner :
Conf. No. : 5104
Docket No. : P05478US01

THE DOCUMENTS ACCOMPANYING THIS FACSIMILE TRANSMITTAL COVER SHEET CONTAIN INFORMATION FROM THE LAW FIRM OF MCKEE, VOORHEES & SEASE WHICH MAY BE CONFIDENTIAL AND/OR LEGALLY PRIVILEGED. THE DOCUMENTS ARE INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE ADDRESSEE IDENTIFIED ABOVE. IF YOU ARE NOT THE INTENDED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING THESE DOCUMENTS TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY REVIEW, DISCLOSURE, COPYING, DISTRIBUTION OR THE TAKING OF ANY ACTION IN RELIANCE ON THE CONTENTS OF THIS TRANSMITTED INFORMATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS FACSIMILE IN ERROR, PLEASE IMMEDIATELY NOTIFY THIS FIRM SO THAT WE CAN ARRANGE FOR THE RETURN OF THE ORIGINAL DOCUMENTS TO US. THANK YOU.

MVS

12/09/03 TUE 15:42 FAX 5152881338

MCKEE VOORHEES & SEASE

RECEIVED
CENTRAL FAX CENTER 002

DEC 09 2003
OFFICIAL

PATENT
Attorney Docket No. P05478US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: SHOJI, Katsuji
SERIAL NO: 10/654,554
FILED: September 3, 2003
TITLE: SELF-LOCKING REDUCTION DEVICE

Group Art Unit: 3654
Examiner:
Confirmation No: 5104

INFORMATION DISCLOSURE STATEMENT
FILED WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This information disclosure statement is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, or before the mailing of a first office action after the filing of a request for continued examination, whichever event occurs last.

Attached are Forms PTO/SB/08A and 08B (formerly Form PTO-1449) listing the relevant art known to the applicant herein. The Examiner is requested to consider the references and make them of record. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. 10/020,806, filed on December 12, 2001. Each of the references listed on Forms PTO/SB08A and 08B were submitted to, and/or cited by, the Patent

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:


MAILING

☐ deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

FACSIMILE

☒ transmitted by facsimile to the Patent and Trademark Office, Art Unit 3654 at Fax No. (703) 872-9326.

Date: 12/9/03


KIRK M. HARTUNG

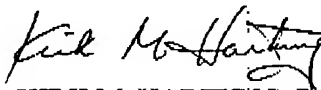
Office in the prior application(s) and, therefore, are not required to be provided in this application.

Applicant discloses herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose. Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement, except those identified above.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

Respectfully submitted,



KIRK M. HARTUNG, Reg. No. 31,021
McKEE, VOORHEES & SEASE, P.L.C.
801 Grand Avenue, Suite 3200
Des Moines, Iowa 50309-2721
Phone No. (515) 288-3667
Fax No. (515) 288-1338
CUSTOMER NO: 22885

- pw -

Attorneys of Record

Form PTO/SA08B (10-01)

Approved for use through 10/31/2002. OMB 0851-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/654,554
		Filing Date	September 3, 2003
		First Named Inventor	SHJI, Katsuji
		Art Unit	3654
		Examiner Name	
Sheet 1 of 1	Attorney Docket Number	P05478US01	

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 3,985,047	10/1976	THERKELSEN	
		US- 4,426,064	01/1984	HEALY	
		US- 4,595,173	06/1986	ANDERSON	
		US- 4,854,547	08/1989	OLIPHANT	
		US- 5,290,014	03/1994	FERGISON	
		US- 6,209,690	04/2001	KUIVAMAKI et al.	
		US- 6,520,483	02/2003	MIYOSHI et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		JP 2001-041293	02/13/2001	SHOJI	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.